



Practitioner's Docket No. 2628

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Evan A. Gordon et al.

Application No.: 10 / 698,149 Group No.:

Filed: October 30, 2003 Examiner:

For: CLEANING MACHINE FOR CLEANING A SURFACE

**Commissioner for Patents****P.O. Box 1450****Alexandria, VA 22313-1450****AMENDMENT, REQUEST AND FEE TO DELETE AND/OR  
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED  
INVENTOR(S) IN—NONPROVISIONAL APPLICATION—DECLARATION  
(37 C.F.R. § 1.48(a))**

**NOTE:** "If the inventive entity is set forth in error in an executed § 1.63 oath or declaration in a nonprovisional application, and such error arose without any deceptive intention of the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the inventorship of the nonprovisional application may be amended to name only the actual inventor or inventors." 37 C.F.R. § 1.48(a)

**NOTE:** 37 C.F.R. § 1.48(f)(1): "Nonprovisional application-filing executed oath/declaration corrects inventorship. If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63 by any of the inventors, the first submission of an executed oath or declaration under § 1.63 by any of the inventors during the pendency of the application will act to correct the earlier identification of inventorship. See §§ 1.41(a)(4) and 1.497(d) for submission of an executed oath or declaration to enter the national stage under 35 U.S.C. 371 and § 1.494 or § 1.495 naming an inventive entity different from the inventive entity set forth in the international stage."

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***(When using Express Mail, the Express Mail label number is **mandatory**;

Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**37 C.F.R. § 1.8(a)****37 C.F.R. § 1.10 \***☒ with sufficient postage as first class mail.☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Signature

Date: 4/20/2004Pamela S. Grapes

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s)  
in—Nonprovisional Application—Declaration (37 C.F.R. § 1.48(a)) [9-22]—page 1 of 3)

1. This amendment and request is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on 10/30/03 (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

☒ Add the following previously unnamed person(s) as inventor(s) of this application:  
Robert W. Bauman

☐ Delete the following previously incorrectly named inventor(s)

### 3. Attachments

Attached is

(a) A statement from: (check items below that apply)

☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

(b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).

(c) written assent of the assignee (if any of the original inventors executed an assignment) 37 C.F.R. 1.48(a)(4)

(d) (check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)

☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

### 4. Fee Payment (37 C.F.R. § 1.17(i)–\$130.00)

The fee required is paid as follows:

☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_

☒ Authorization is hereby made to charge the amount of \$ 130.00

☒ to Deposit Account No. 50-1120

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should **not** be included on this form as it may become public.

☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in—Nonprovisional Application—Declaration (37 C.F.R. § 1.48(a)) [9-22]—page 2 of 3)

1. This amendment and request is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on 10/30/03 (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

- ☒ Add the following previously unnamed person(s) as inventor(s) of this application:  
Robert W. Bauman

- ☐ Delete the following previously incorrectly named inventor(s)

3. Attachments

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- ☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
- ☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
- (b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).
- (c) written assent of the assignee (if any of the original inventors executed an assignment) 37 C.F.R. 1.48(a)(4)
- (d) (check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)
- ☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

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- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in—Nonprovisional Application—Declaration (37 C.F.R. § 1.48(a)) [9-22]—page 2 of 3)

Reg. No.: 35,347

Tel. No.: (330) 499-9200  
Ext. 2515

Customer No.:

Brett A. Schenck

SIGNATURE OF PRACTITIONER

Brett A. Schenck

(type or print name of practitioner)

101 East Maple Street  
P.O. Address

North Canton, Ohio 44720

(Amendment, Request and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s)  
in—Nonprovisional Application—Declaration (37 C.F.R. § 1.48(a)) [9-22]—page 3 of 3)



Hoover Case 2628

PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Evan A. Gordon et al.

Serial No.: 10/698,149

Examiner:

Filed: October 30, 2003

Art Unit:

For: Cleaning Machine For Cleaning A Surface

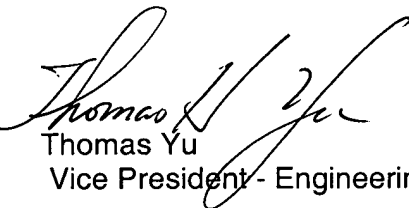
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Assignee Statement Under 37 CFR 3.73(b)**

Sir:

Pursuant to 37 CFR '3.73(b), I, the undersigned, having the authority to act on behalf of the assignee, The Hoover Company, said ownership of assignee being established by the assignment of the above patent application hereby give consent to correct the inventorship of the above application by adding Robert W. Bauman as an inventor to the above application.

Respectfully submitted,

By   
Thomas Yu  
Vice President - Engineering



Practitioner's Docket No.: 2628

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.: 10/698,149

Examiner:

Filing Date: October 30, 2003

Group Art Unit:

Applicant: Evan A. Gordon et al.

Title of Invention: Cleaning Machine For Cleaning A Surface

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY  
PETITION TO CHANGE INVENTORSHIP IN PATENT APPLICATION  
(37 C.F.R. § 1.48(A)(2))

*NOTE. Nothing more than a simple statement is required. The examiner will determine only whether the statement contains the required language and will not make any comment as to whether or not it appears there was in fact a deceptive intention. RREP., § 1481, 7th Edition.*

I, the person who is being added as an inventor by the petition being submitted to correct the inventorship of this patent application do hereby declare that the inventorship error in failing to include my name as an inventor on this patent application occurred without any deceptive intention on my part.

Robert W. Bauman

Type name of inventor being added

*Robert W. Bauman*

Signature

(Statement of Non-deceptive Intent by Person Being Added by Petition to Change Inventorship in Patent Application)



Practitioner's Docket No. 2628

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Evan A. Gordon et al.

Application No.: 10/698,149 Group No.:

Filed: October 30, 2003 Examiner:

For: CLEANING MACHINE FOR CLEANING A SURFACE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**ASSENT OF ASSIGNEE TO CORRECTION  
AND/OR ADDITION OF INVENTOR(S)**

The Hoover Company

(type or print name of assignee)

101 East Maple Street

Address  
North Canton, Ohio 44720**Assignment**☐ recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

☐ recorded herewith☐ A separate ☐ "ASSIGNMENT" (DOCUMENT) COVER SHEET is attached.

or

☐ FORM PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed

☐ herewith.☐ on \_\_\_\_\_**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450**FACSIMILE**☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 4/20/2004

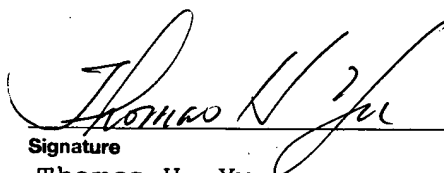
Signature

Pamela S. Grapes

(type or print name of person certifying)

## ASSIGNEE STATEMENT

A "STATEMENT UNDER 37 C.F.R. § 3.73(b)" is attached.

  
\_\_\_\_\_  
Signature  
Thomas H. Yu  
Vice President, Engineering  
(type or print name and title of person authorized to sign  
on behalf of assignee)

(Assent of Assignee to Correction and/or Addition of Inventor(s) [9-23]—page 2 of 2)



**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s):

Docket No.

2628

Serial No.

10/698,149

Filing Date

October 30, 2003

Examiner

Group Art Unit

Invention: **CLEANING MACHINE FOR CLEANING A SURFACE**I hereby certify that this Correction of Inventorship and Related Documents

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Alexandria, VA 22313-1450

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

21 April 2004  
(Date)Pamela S. Grapes

(Typed or Printed Name of Person Mailing Correspondence)

Pamela S. Grapes

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.